Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office	Atty. Docket No. A34920 PCT-USA 072667.0179	Serial No. 10/030,289
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant Beclin, Christophe et al.	
OVP E(Use several sheets if necessary)	Filing Date January 11, 2002	Group 19638 RECEIVE
FEB 2 & 2003	Examiner TBA	FEB 2 8 2003
RADEMAR		TECH CENTER 1600/290

							Į	J .S. 1	PATENT D	OCUMENTS			
*Exam. Init.	No.			Do	cumen	t No.			Date	Name	Class	Subclass	Filing Date if Appro.
MAS	1.	5	5	6	5	3	4	6	10/15/96	Facciotti	435	172.3	07/27/88
TO (7)	2.	5	5	5	4	7	9	8	09/10/96	Lundquist et al.	800	205	05/15/95
	3.	5	5	3	8	8	7	7	07/23/96	Lundquist et al.	435	172.3	11/10/92
 	4.	5	5	1	0	3	1	8	04/23/96	Patel et al.	504	223	05/25/93
+	5.	5	5	0	8	4	6	8	04/16/96	Lundquist et al.	800	205	04/16/96
	6.	5	4	8	9	5	2	0	02/06/96	Adams et al.	435	172.3	04/26/94
	7.	5	4	8	4	9	5	6	01/16/96	Lundquist et al.	800	205	04/11/90
-	8.	5	4	7	8	7	4	4	12/26/95	Sanford et al.	435	285.1	08/12/94
-	9.	5	4	6	4	7	6	3	11/07/95	Schilperoort et al.	435	172.3	12/23/93
-	10.	5	4	0	5	7	6	5	04/11/95	Vasil et al.	435	172.3	08/21/92
$\overline{}$	11.	5	3	7	1	0	1	4	12/06/94	Matsuyama et al.	435	280	10/22/93
	12.	5	2	0	4	2	5	3	04/20/93	Sanford et al.	435	172.3	05/29/90
	13.	5	1	8	7	0	7	3	02/16/93	Goldman et al.	435	172.3	11/13/89

Examiner Meding A. 16 Vali Date Considered 04/05/04	NY02:423895.1			
	Examiner A 1	A-16rali	Date Considered	04/05/04

^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office	Atty. Docket No. A34920 PCT-USA 072667.0179	Serial No. 10/030,289
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant Beclin, Christophe et al.	
OTHE Several sheets if necessary)	Filing Date January 11, 2002	Group 1638 1646 BECE!
FEB 2 4 2003	Examiner TBA	FER 2 8 2007
· RADEMINON CA		TECH CENTER 1600/200

							ι	J .S . 1	PATENT D	OCUMENTS			
*Exam.	No.			Do	cumen	No.			Date	Name	Class	Subclass	Filing Date if Appro.
Init.	14.	5	1	7	9	0	2	2	01/12/93	Sanford et al.	435	287	02/11/92
491	15.	5	1	7	7	0	1	0	01/05/93	Goldman et al.	435	172.3	09/05/90
+	16.	5	1	0	0	7	9	2	03/31/92	Sanford et al.	435	172.1	01/24/89
	17.	5	0	3	6	0	0	6	07/30/91	Sanford et al.	435	170.1	08/17/89
+	18.	4	9	4	5	0	5	0	07/31/90	Sanford et al.	435	172.1	11/13/84
	19.	4	5	3	6	4	7	5	08/20/85	Anderson	435	172.3	10/05/82
	20.	4	4	5	9	3	5	5	07/10/84	Cello et al.	435	172.3	07/12/82

	No.	Document No.	Date	Country	Class	Subclass	Translation Yes No
4 AT 2	21.	EP 0 672 752 A1	20.09.95	Europe	C12N	15/00	Yes
1 2	22.	EP 0 604 662 A1	06.07.94	Europe	A01H	1/00	Yes
1 2	23.	EP 0 486 234 A2	20.05.92	Europe	C12N	15/82	<u>Y'es</u>
2	24.	EP 0 486 233 A2	20.05.92	Europe	C12N	15/82	<u>Y'es</u>
1 2	25.	EP 0 442 174 A1	21.08.91	Europe	C12N	15/82	Yes
Y02:423	895.1				,		

^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office	Atty. Docket No. A34920 PCT-USA 072667.0179	Serial No. 10/030,289
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant Beclin, Christophe et al.	
Use several sheets if necessary)	Filing Date January 11, 2002	Group 1646 /638FCC
FEB 2 1 2003 W	Examiner TBA	FEB 2 8 2000
E TRADES NO.		TECH CENTER 1600/29

FOREIGN PATENT DOCUMENTS										
MK	No.	Document No.	Date	Country	Class	Subclass	Translation Yes No			
	26.	EP 0 267 159 A2	11.05.88	Europe	C12N	15/00	No			
_	27.	WO 97/46690	11.12.97	International	C12N	15/67	Yes			
+	28.	WO 95/06128	02.03.95	International	C12N	15/82	Yes			
+	29.	WO 95/13863	23.06.94	International	C25D	3/56	Yes			
+	30.	WO 92/20809	26.11.92	International	C12N	15/89	Yes			
1	31.	WO 91/02071	21.02.91	International	C12N	15/82	Yes			

	N	o. OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)
MA	A) 32	Buell C.R. et al., Accession No. AQ964581, January 31, 2000.
	33	Nakamura Y. et al., Accession No. AV566465, June 16, 2000.
	34	Nakamura Y. et al., Accession No. AV525508, June 15, 2000.
	3.5	Mourrain P. et al., Accession No. AF239719, June 7, 2000.
	36	Nakamura Y., Accession No. AB025633, April 9, 1999.
	37	Genoscope, Accession No. AL084227, June 28, 1999.
	. 38	DOE Joint Genome Institute, Accession No. AC009109, August, 4, 1999.

NY02:423895.1,	,			
Examiner	dine	A. Ibrali	Date Considered	04/05/04

^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT	Atty. Docket No. A34920 PCT-USA 072667.0179 Applicant	Serial No. 10/030,289
BY APPLICANT OF EUse several sheets if necessary)	Beclin, Christophe et al. Filing Date January 11, 2002	Group CEIVEL
FEB 2 1 2003 W	Examiner TBA	FEB 2 8 2003 FECH CENTER 1600/290
RADEWER		1600/29

No.	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)
MAI 39.	Chen J. et al., Accession No. AI999551, September 9, 1999.
40.	Wassenegger M et al., 1998, "A model for RNA-mediated gene silencing in higher plants" Plant Molecular Biology 37:349-362.
41.	Aranda M et al., 1998, "Virus-Induced Host Gene Shutoff in Animals and Plants" Virology 243 :261-267, Article No. VY989032.
42.	Fire A et al., 1998, "Potent and specific genetic interference by double-stranded RNA in Caenorhabditis elegans" Nature 391:806-811.
43.	Al-Kaff N et al., 1998, "Transcriptional and Posttranscriptional Plant Gene Silencing in Response to a Pathogen" www.sciencemag.org * Science 279:2113-2115.
44.	Jorgensen R et al., 1998, An RNA-Based Information Superhighway in Plants' www.sciencemag.org * Science 279:1486-1487.
45.	Rounsley S.D. et al., Accession No. AQ010650, May 29, 1998.
46.	Vaucheret H et al., 1998, XP-002149065, "Transgene-induced gene silencing in plants" The Plant Journal 16(6):651-659.
47.	Elmayan T et al., 1998, XP-002149063, "Arabidopsis Mutants Impaired in Cosuppression" www.plantcell.org, The Plant Cell 10:1747-1757.
48.	Voinnet O et al., 1998, "Systemic Spread of Sequence-Specific Transgene RNA Degradation in Plants Is Initiated by Localized Introduction of Ectopic Promoterless DNA" Cell 95:177-187.
49.	Schiebel W et al., 1998, "Isolation of an RNA-Directed RNA Polymerase-Specific cDNA Clone from Tomato" www.plantcell.org, The Plant Cell 10:2087-2101.
50.	Kassachau K et al., 1998, "A Counterdefensive Strategy of Plant Viruses: Suppression of Posttranscriptional Gene Silencing" Cell 95:461-470.

	NY(02:423	895.	1
--	-----	--------	------	---

Examiner

Date Considered

04/05/04

^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office	Atty. Docket No. A34920 PCT-USA 072667.0179	Serial No. 10/030,289
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant Beclin, Christophe et al.	
(Use several sheets if necessary)	Filing Date January 11, 2002	Group CEIVED
FEB 2 1 2003 C	Examiner TBA	FEB 2 8 2003
		TECH CENTER 1600/2900

No.	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)
MAI 51.	Jones C et al., 1998, "The effect of chimeric transgene architecture on co-ordinated gene silencing" Planta 204:499-505.
52.	Brigneti G et al., 1998, "Viral pathogenicity determinants are suppressors of transgene silencing in Nicotiana benthamiana" The EMBO Journal 17(22):6739-6746.
53.	Prokisch H et al., 1997, "Impairment of calcineurin function in Neurospora crassa reveals its essential role in hyphal growth, morphology and maintenance of the apical Ca ²⁺ gradient" Mol Gene Genet 256:104-114.
54.	Ratcliff F et al., 1997, "A Similarity Between Viral Defense and Gene Silencing in Plants" www.sciencemag.org, Science 276:1558-1560.
55.	Kempin SA et al., 1997, "Targeted disruption in Arabidopsis" Nature 389:802-803.
56.	Voinnet O et al., 1997, "Systemic signalling in gene silencing" Nature 389:553.
57.	Jean-Christophe P et al., 1997, "Systemic acquired silencing: transgene-specific post-transcriptional silencing is transmitted by grafting from silenced stocks to non-silenced scions" The EMBO Journal 16(15):4738-4745.
58.	Angell S et al., 1997, "Consistent gene silencing in transgenic plants expressing a replicating potato virus X RNA" The EMBO Journal 16(12):3675-3684.
59.	Elmayan T et al., 1996, "Expression of single copies of a strongly expressed 35S transgene can be silenced post-transcriptionally" <i>The Plant Journal</i> 9(6):787-797.
60.	Lomonossoff G, 1995, "Pathogen-Derived Resistance To Plant Viruses" <i>Annu. Rev. Phytopathol.</i> 33 :324-43.
61.	van Engelen F et al., 1995, "pBINPLUS: an improved plant transformation vector based on pBIN19" Transgenic Research 4:288-290.

NY02:423895.1			
Examiner	edure	Date Considered	04/05/04
	V		

^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	72667.0179	
	pplicant cclin, Christophe <i>et al.</i>	DECEIVED
Jai	····g··	7638 FFB 2 8 2001
1 ^ 6 6 6 6	kaminer BA	TECH CENTER 1600 29

	No.	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)
M	62.	Panabières F et al., 1995, "Characterization of a Gene Cluster of Phytophthora cryptogea Which Codes for Elicitins, Proteins Inducing a Hypersensitive-Like Response in Tobacco" Molecular Plant-Microbe Interactions 8(6):996-1003.
-	63.	Kamoun S et al., 1993, "A Gene Encoding a Host-Specific Elicitor Protein of Phytophthora parasitica" MPMI 6(5):573-581.
	64.	Judelson H et al., 1993, "Expression and antisense inhibition of transgenes in <i>Phytophthora</i> infestans is modulated by choice of promoter and position effects" Gene 133:63-69.
	65.	Altschul S, 1993, "A Protein Alignment Scoring System Sensitive at All Evolutionary Distances" J Mol Evol 36:290-300.
	66.	Kortt A et al., 1991, "Amino acid and cDNA sequences of a methionine-rich 2S protein from sunflower seed (Helianthus annus L.)" Eur. J. Biochem. 195:329-334. Altschul S et al., 1990, "Basic Local Alignment Search Tool" J Mol. Biol. 215:403-410.
	67.	Altschul S et al., 1990, "Basic Local Alignment Search Tool" J Mol. Biol. 215:403-410.
	68.	White J et al., 1990, "A cassette containing the bar gene of Streptomyces hygroscopius: a selectable marker for plant transformation" Nucleic Acids Research 18(4):1062.
	69.	Bevan M et al., 1983, "A chimaeric antibiotic resistance gene as a selectable marker for plant cell transformation" Nature 304:184-187.
\	70.	Gritz L et al., 1983, "Plasmid-encoded hygromycin B resistance: the sequence of hygromycin B phosphotransferase gene and its expression in Escherichia coli and Saccharomyces cerevisiae" Gene 25:179-188.

NY02:423895.1 Examiner

Date Considered

^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.